



PATENT  
Attorney Docket No.: COOL-00800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 3744  
Girish Upadhyा et al. ) Examiner: Cirić, Ljiljana V.  
Serial No.: 10/698,180 ) **TRANSMITTAL LETTER**  
Filed: October 30, 2003 ) 162 N. Wolfe Road  
For: **OPTIMAL SPREADER SYSTEM,  
DEVICE AND METHOD FOR  
FLUID COOLED MICRO-SCALED  
HEAT EXCHANGE** ) Sunnyvale, CA 94086  
 ) (408) 530-9700  
 ) Customer No. 28960

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 4-12-07

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

## Attorneys for Applicants

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage (as first class mail) in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS, L.P.

46-07 Mary Sales



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Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on January 26, 2007. **Accordingly, I hereby certify that no references contained within this supplemental information disclosure statement were cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).**

PATENT  
Attorney Docket No.: COOL-00800

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 4-12-07

By Thomas B. Haverstock

Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR 1.8(a))  
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HAVERSTOCK & OWENS LLP.

- 2 -

Date: 4-12-07 May Borel



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Filed: October 30, 2003 )  
For: **OPTIMAL SPREADER SYSTEM,  
DEVICE AND METHOD FOR  
FLUID COOLED MICRO-SCALED  
HEAT EXCHANGE** ) **SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT**  
 ) 162 N. Wolfe Road  
 ) Sunnyvale, CA 94086  
 ) (408) 530-9700  
 ) Customer No. 28960  
)

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Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

- U.S. Patent No. 4,698,661
- U.S. Patent No. 5,909,057;
- U.S. Patent No. 6,057,597;
- U.S. Patent No. 6,562,662 B2;
- U.S. Patent No. 6,787,899 B2; and
- U.S. Patent No. 6,972,485 B2.

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This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 4-12-07

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

**CERTIFICATE OF MAILING (37 CFR § 1.5(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 4-12-07 Mary Sorey

FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: COOL-00800	Serial No.: 10/698,180			
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <small>(Use Several Sheets If Necessary)</small> <small>(37 CFR § 1.98(b))</small>				Applicants: Girish Upadhyay et al.				
				Filing Date: October 30, 2003		Group Art Unit: 3744		
<b>U.S. PATENT DOCUMENTS</b>								
Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date	
	AA	4,698,661	10/06/87	Bessonneau et al.	357	74	1/23/87	
	AB	5,909,057	6/1/99	McCormick et al.	257	704	9/23/97	
	AC	6,057,597	5/2/00	Farnworth et al.	257	710	10/14/98	
	AD	6,562,662 B2	5/13/03	Shishido et al.	438	125	9/25/01	
	AE	6,787,899 B2	9/7/04	Rinella et al.	257	712	3/12/02	
	AF	6,972,485 B2	12/6/05	Kong et al.	257	704	1/17/03	
	AG							
	AH							
	AI							
	AJ							
<b>FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS</b>								
		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AK							
	AL							
	AM							
	AN							
	AO							
<b>OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)</b>								
	AP							
	AQ							
	AR							
	AS							
	AT							
	AU							
	AV							
	AW							
	AX							
	AY							
	AZ							
Examiner:				Date Considered:				
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

